

01-08-08

PTO/SB/05(11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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932 U.S. PTO
10/039625
10/19/01

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. L-1610
First Inventor Michael D. Vander Voort
Title NEMA-TYPE AC POWER OUTLET CONNECTORS
Express Mail Label No. ET420113532US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 35]
(Preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. ☒ Oath or Declaration [Total Pages 2]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet, See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [X] Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure [X] Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____

Prior application information.

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name Howard R. Lambert

Address 5245 Gatewood Lane

City Anaheim

State

CA

Zip Code

92807

Country U.S.A.

Telephone

(714) 637-5678

Fax

(714) 637-5678

Name (Print Type) Howard R. Lambert

Registration No. (Attorney/Agent)

27,206

Signature

Howard R. Lambert

Date

10-16-2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on
the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 488.00)

Complete if Known

Application Number	Unknown
Filing Date	Unknown
First Named Inventor	Michael D. Vander Vorste
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	L-1610

10/039625
10/19/01

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 12-0432
Deposit Account Name: Howard R. Lambert

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	370
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 370.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
24	-20** = 4	9	36
4	-3** = 1	42	42
Multiple Dependent			

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 78.00)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)				Assignment Record.	40

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY

Name (Print/Type)	Howard R. Lambert	Registration No. (Attorney/Agent)	27,206	Telephone	(714) 637-5678
Signature	<i>Howard R. Lambert</i>	Date	10/16/2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Attorney's Docket No. L-1610

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor: **Michael D. Vander Vorste and Peter S. Pulizzi**

For: **NEMA-TYPE AC POWER OUTLET CONNECTORS**

1. Type of Application

This new application is for a(n)

- ☒ Original (non-provisional) utility
- ☐ Design
 - ☐ Plant
- ☐ Divisional.
- ☐ Continuation.
- ☐ Continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)

☒ none

3. Papers Enclosed That Are Required for Filing Date under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

29 Pages of specification

5 Pages of claims

1 Page of Abstract

7 Sheets of drawing

☒ formal

☐ informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on October 19, 2001 in an envelope as Express Mail, Post Office to Addressee Mailing Label Number ET420113532US addressed to: Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231

Howard R. Leubke
Signature of person mailing paper

4. Additional papers enclosed

- ☐ Preliminary Amendment
☐ Information Disclosure Statement (37 CFR 1.98)
☐ Form PTO-1449
☒ Power of Attorney
☒ Form PTO/SB/17 Fee Transmittal for FY 2001
☒ Form PTO/SB/05 Utility Patent Application Transmittal

5. Declaration or oath:

- ☒ Inventors Michael D. Vander Vorste and Peter S. Pulizzi
☐ Legal representative of inventor(s). 37 CFR 1.42 or 1.43.
☐ Not Enclosed.

6. Assignment:

- ☒ An assignment of the invention to PULIZZI ENGINEERING INC.
☒ Is attached.
☐ Will follow.

7. Fee Calculation (37 CFR 1.16)

CLAIMS AS FILED				
	Number filed	Number extra	Rate (Small Entity)	Basic Fee 37 CFR 1.16(b) \$370.00
Total				
Claims (37 CFR 1.16(c))	24- 20 =	4 x	\$ 9.00=	\$ 36.00
Independent				
Claims (37 CFR 1.16(b))	4 - 3 =	1 x	\$ 42.00=	\$ 42.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))		-	\$135.00=	\$ 0.00

- ☐ Amendment canceling extra claims enclosed.
☐ Amendment deleting multidependencies enclosed.
☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation**\$ 448.00****8. Small Entity Statement(s):**

- ☒ Applicants' assert that Application is entitled to Small Entity status.
☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9c is attached.
☐ Status as a small entity was claimed in prior application serial No. _____, filed on _____ from which benefit is being claimed for this application under:
 35 U.S.C. ☐ 119(e),
☐ 120,

- ☐ 121,
☐ 365(c),

and which status as a small entity is still proper and desired.

☐ A copy of the verified statement in the prior application is included.

9. Request for International-Type Search (37 CFR 1.104(d)):

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

10. Fee Payment Being Made at This Time:

- ☐ Not Enclosed
☐ No filing fee is to be paid at this time.
- ☒ Enclosed
- | | |
|--|------------------|
| <input checked="" type="checkbox"/> Basic filing fee | \$ 448.00 |
| <input checked="" type="checkbox"/> Recording assignment
(\$ 40.00; 37 CFR 1.21(h))
(See attached cover sheet for
"ASSIGNMENT ACCOMPANYING NEW APPLICATION".) | \$ 40.00 |
| <input type="checkbox"/> For processing an application with a
specification in a non-English language.
(\$130.00; 37 CFR 1.52(d) and 1.17(k)) | \$ _____ |
| <input type="checkbox"/> Processing and retention fee
(\$130.00; 37 CFR 1.53(d) and 1.21(l)) | \$ _____ |
| <input type="checkbox"/> Fee for international-type search report
(\$40.00; 37 CFR 1.21(e)) | \$ _____ |
| TOTAL FEES ENCLOSED | \$ 488.00 |

11. Method of Payment of Fees

- ☒ Check number 124 in the amount of \$ 488.00
☐ Charge Account No. 12-0432 in the amount of \$ _____
 A duplicate of this transmittal is attached.

15. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-0432.
- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
☒ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
☐ 37 CFR 1.17 (application processing fees)

16. Instructions as to Overpayment:

- ☒ Credit Account No. 12-0432
☐ Refund


SIGNATURE OF ATTORNEY

Howard R. Lambent, Reg. No. 27,206
 Attorney for Applicants
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 Anaheim CA 92807
 Tel/Fax No. (714) 637-5678